



Table of Fees for Services

Carefully read Item 4 and Item 5 of Form ADV Part 2A (“Brochure”) for more details of Ferris Wheel Finance, Inc. advisory services and fees, respectively. Fees below are charged when clients request the services listed. Fees below may not apply to all clients. Fees may be negotiable.

Fees Charged by Investment Adviser	Fee Amount			Frequency Fee is Charged	Services
Assets Under Management Fee	Assets Under Management	Annual Fee	Quarterly Fee	Quarterly in advance	Portfolio Management for Individuals and/or small businesses
	\$0 to \$500,000	1.20%	.300%		
	\$500,001 to \$2,000,000	1.10%	.275%		
	\$2,000,001 to \$4,000,000	1.00%	.250%		
	\$4,000,001 to \$5,000,000	0.80%	.200%		
	\$5,000,001 to \$10,000,000	0.70%	.175%		
	Over \$10,000,000	0.60%	.150%		
Hourly Fee	\$250			30% at commencement; 30% at midpoint review; balance due upon plan delivery	Financial planning Services
Subscription Fee	N/A			N/A	N/A
Fixed Fee	\$2,000-\$10,000			30% at commencement; 30% at midpoint review; balance due upon plan delivery	Financial planning Services
Commissions to the Adviser	N/A			N/A	N/A
Performance-based Fee	N/A			N/A	N/A
Other	\$200 per hour			Due at event	Educational Seminars/Workshops
Fees Charged by Third Parties	Fee Amount			Frequency Fee is Charged	Services
Third Party Money Manager	N/A			N/A	N/A
Sub-advisor	0.25% - 1.00%			Monthly in arrears	Selection of other advisers
Robo-Adviser Fee	N/A			N/A	N/A
Talk with your Adviser about fees and costs applicable to you					

Additional fees and costs to discuss with your Adviser

Additional Fees/Cost	Yes/No	Paid To
Brokerage Fees	Yes	Charles Schwab & Co., Inc.
Commissions	Yes	Katherine Hyland Mercer, Sole Proprietor; Insurance Agent
Custodian Fees	Yes	Charles Schwab & Co., Inc.
Mark-ups	No	N/A
Mutual Fund/ETF Fees and Expenses	Yes	Various mutual fund and ETF companies

Effective December 4, 2020